

1 **PrP associated resistance to scrapie in five highly infected goat herds**

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17 Running Title: Genetic resistance to scrapie in goats

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21 Number of words in the summary: 140

22 Number of words in the main text: 2437

23 Number of tables: 3

24

25 **Summary**

26 The PrP gene polymorphisms at codons 142 (I/M), 154 (R/H), 211 (R/Q), 222 (Q/K) and 240  
27 (S/P) and their association with susceptibility to classical scrapie infection were investigated  
28 in five French goat herds displaying a high disease prevalence (>10%). On the basis of PrP<sup>Sc</sup>  
29 detection in central nervous system and in various lymphoid tissues, 301 out of the 1343 goats  
30 were found to be scrapie infected. The statistical analyses indicated that while P<sub>240</sub> mutation  
31 had no direct impact on scrapie infection risk, the H<sub>154</sub>, Q<sub>211</sub> and K<sub>222</sub> mutations were  
32 associated with high resistance to scrapie. The M<sub>142</sub> mutated allele was associated with a  
33 limited protection level against the disease.

34 These results further reinforce the view that, like in sheep, the control and eradication of  
35 classical scrapie through the selection of certain PrP alleles could be envisaged in commercial  
36 goat population.

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40 **Text**

41 In sheep, the susceptibility to Transmissible Spongiform Encephalopathies (TSE) is strongly  
42 modulated by polymorphisms of the prion protein (*PrP*) gene and the nature of the prion  
43 disease agent (strain) (Baylis & Goldmann, 2004). The A<sub>136</sub>R<sub>154</sub>R<sub>171</sub> allele is associated with a  
44 highly protective effect against natural or experimental infection with classical scrapie and  
45 BSE agents, while the V<sub>136</sub>R<sub>154</sub>Q<sub>171</sub> or A<sub>136</sub>R<sub>154</sub>Q<sub>171</sub> alleles are associated with susceptibility  
46 (Elsen *et al.*, 1999; Hunter, 1997). However, in sheep, the ARR allele does not provide any  
47 particular protection against atypical scrapie, whereas the R154H or L141F amino acid  
48 substitutions are associated with an increased risk of occurrence of this TSE (Fediaevsky *et*  
49 *al.*, 2010; Goldmann, 2008; Moreno *et al.*, 2007 ; Moum *et al.*, 2005).

50 At the European level, the selection of the ARR allele carriers was successfully applied for  
51 controlling and eradicating classical scrapie in infected sheep flocks (Nodelijk *et al.*, 2011).

52 At the population level, large scale selection programs were also implemented. They aimed at  
53 increasing the frequency of ARR allele in the general population making it less favorable for  
54 TSE agent circulation and spreading. This ‘breeding for resistance policy’ in combination  
55 with the other eradication measures, resulted in a significant reduction of the classical scrapie  
56 prevalence in populations where it was comprehensively applied (Dawson *et al.*, 2008;  
57 Fediaevsky *et al.*, 2008; Hagenaars *et al.*, 2010).

58

59 In goats, several field studies have identified coding mutations of the PrP gene that are  
60 associated with lower risk of developing classical scrapie; namely the I/M<sub>142</sub>, N/D<sub>146</sub> and S<sub>146</sub>,  
61 R/Q<sub>211</sub> and Q/K<sub>222</sub> (Acutis *et al.*, 2006; Barillet *et al.*, 2009; Bouzalas *et al.*, 2010; Goldmann  
62 *et al.*, 1996; Goldmann *et al.*, 2011; Gonzalez *et al.*, 2009; Papisavva-Stylianou *et al.*, 2007;  
63 Papisavva-Stylianou *et al.*, 2011; Vaccari *et al.*, 2006).

64 The development of a PrP genotype selection program is now considered by the EU  
65 authorities as a potential tool for the control and eradication of scrapie in the commercial goat  
66 population. However, the available data related to PrP polymorphisms associated with TSE  
67 resistance in goat are still considered insufficient to recommend a breeding for resistance  
68 policy (EFSA, 2009). Indeed, because (i) the low frequency of certain alleles in goat  
69 populations and (ii) the relatively limited number of scrapie cases usually involved in certain  
70 studies, it still remains difficult to estimate the real level of resistance associated with the  
71 different goat PrP genotypes (Acutis *et al.*, 2006; Baylis & Goldmann, 2004; EFSA, 2009;  
72 Vaccari *et al.*, 2006).

73 In this study, five naturally scrapie infected herds (A to E) were selected on the basis of their  
74 high infection prevalence (table 1). Index cases were identified through the EU active TSE  
75 surveillance system either at the rendering plant or slaughter house. According to the EU  
76 regulation (EU999/2001) those TSE affected goat herds were destroyed. In more than 99.4%  
77 of the animals (1343 out of 1350), the ileum, the mesenteric lymph node, the tonsil and the  
78 posterior brain stem were sampled at the rendering plant. For each sample, PrP<sup>Sc</sup> detection  
79 was carried out by immunohistochemistry using the 8G8 antibody (epitope amino acid  
80 sequence 95-108 of the human PrP), as previously described (Lacroux *et al.*, 2007). An  
81 animal was considered scrapie positive when at least one of its tissues was found positive.  
82 From the 1343 sampled goats a total of 301 scrapie cases were identified (table 1).

83

84 The five herds comprised Alpine, Saanen and Alpine/Saanen cross-bred goats. The PrP  
85 haplotype diversity in French Saanen and Alpine breeds has already been described, showing  
86 that PrP gene coding polymorphisms in this population are restricted to positions 142, 154,  
87 211, 222 and 240. In particular, no polymorphism was reported at codon 146 of the PrP gene  
88 in the French goat population (Barillet *et al.*, 2009). Therefore, in this study genotypes were

89 established at codons 142, 154, 211, 222 and 240 by snapshot PCR (Labogena, Jouy en Josas,  
90 France) (table 1). In scrapie cases, the *PrP* gene open reading frame (ORF) was sequenced  
91 (Barillet *et al.*, 2009). Sequencing confirmed that no coding mutations additional to those  
92 observed at codons 142, 154, 211, 222 and 240 were present. Genotyping and sequencing  
93 were established using blood samples that had been collected in the herds several weeks  
94 before stamping out.

95 In this study, we made the deliberate choice to restrict genetic / scrapie infection risk analysis  
96 only to those animals for which traceable and complete information (PrP genotype, scrapie  
97 infection status and age) was available. In four out of the five herds (A-D), such information  
98 was established in more than 93% of the individuals. In the fifth herd (E), traceable  
99 information was only available in 60% of the animals. Finally, 259 scrapie infected goats and  
100 868 apparently healthy controls were considered. To check if a potential bias could have been  
101 introduced to the analysis by excluding animals with incomplete information, a sensitivity  
102 analysis was performed based on multiple imputations (Meng & Rubin, 1992). Assuming that  
103 these data were missing at random, unknown information were randomly imputed based on  
104 the within flock distributions of age and PrP haplotypes/ genotypes derived from animals with  
105 complete data. This was done separately for scrapie positive and negative animals, to take into  
106 account the distribution disequilibrium between these two groups. Statistical analyses were  
107 performed on 100 imputed datasets as described below. Results were not different from those  
108 reported here (not shown). We therefore concluded that no strong bias in the estimation of PrP  
109 haplotypes / genotypes effect could be evidenced.

110 Six different haplotypes were identified; four (IH<sub>154</sub>RQS, IRQ<sub>211</sub>QS, IRRK<sub>222</sub>S, IRRQP<sub>240</sub>)  
111 derived from the archetype I<sub>142</sub>R<sub>154</sub>R<sub>211</sub>Q<sub>222</sub>S<sub>240</sub> (subsequently noted as IRRQS) allele by a  
112 single codon mutation, and one (M<sub>142</sub>RRQP<sub>240</sub>) by a double mutation. Strong differences in  
113 allele frequencies were observed between herds and apart from the IRRQP<sub>240</sub> the PrP mutated

114 alleles were represented at a low level (Table 1). These results are consistent with those  
115 previously reported in the French Saanen and Alpine breeds (Barillet *et al.*, 2009; Vaccari *et*  
116 *al.*, 2009) and support the view that the five studied herds did not display any particularities in  
117 their PrP genetic structure.

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119 Associations between PrP haplotypes / genotypes and the scrapie infection status were  
120 assessed using logistic regression models with a random “herd” effect to control potential  
121 clustering of data (Glimmix macro, SAS 9.2, SAS Institute). In order to consider the potential  
122 confounding effect of animals’ age, models were adjusted for 3 age groups (younger than 2  
123 years, 2 to 4 years old and older than 4 years). In the absence of scrapie cases, some  
124 haplotypes / genotypes could not be included in the mixed logistic model analysis and the  
125 Fisher exact test was used.

126 Scrapie cases were mainly observed in the IRRQS or the IRRQP<sub>240</sub> haplotypes carriers and  
127 using IRRQS as a baseline, the IRRQP<sub>240</sub> and M<sub>142</sub>RRQP<sub>240</sub> alleles were associated with a low  
128 to moderate decrease in scrapie infection risk (OR=0.79, p=0.036 and OR=0.47, p=0.003,  
129 respectively) (Table 2). Conversely, the H<sub>154</sub>, Q<sub>211</sub> and K<sub>222</sub> mutated alleles were associated  
130 with a strong protection against scrapie (OR<0.1, p<10<sup>-4</sup>).

131 Twenty-one different PrP genotypes were observed in the studied goat population (table 3).  
132 The IRRQP<sub>240</sub>/IRRQS (n=238, 21.1 %) and IRRQP<sub>240</sub>/IRRQP<sub>240</sub> (n=182, 16.1 %) genotypes  
133 were the most frequent and no difference in scrapie susceptibility was observed between these  
134 genotypes and the wild type IRRQS homozygote animals.

135 All the other genotypes were represented at substantially lower frequencies and for some  
136 genotypes, like homozygotes K<sub>222</sub> and homozygotes H<sub>154</sub>, the low number of individuals  
137 precluded any statistical comparison.

138 However, still using IRRQS homozygote animals as a baseline, all the K<sub>222</sub>, H<sub>154</sub>, Q<sub>211</sub>  
139 heterozygote genotypes (for which sufficient data was available) and the Q<sub>211</sub> homozygote  
140 animals displayed a strongly reduced risk of scrapie infection. In M<sub>142</sub> allele carriers, the  
141 situation was more complex. While homozygotes M<sub>142</sub> and heterozygotes IM<sub>142</sub>RQP<sub>240</sub>/  
142 IRRQP<sub>240</sub> displayed a reduced risk of scrapie infection, such a risk reduction was not  
143 significant in the IM<sub>142</sub>RQP<sub>240</sub>/IRRQS genotype (p=0.07).

144 The R/H<sub>154</sub> PrP gene mutation was also associated with a strong protective effect against  
145 classical scrapie infection in heterozygotes animals (table 3). However, the R/H<sub>154</sub> mutation  
146 has been demonstrated in goats, like in sheep, to be associated with higher risk of atypical  
147 scrapie occurrence (Colussi *et al.*, 2008). In our opinion, this precludes the use of this allele  
148 for genetic selection against TSE in goats.

149 In this study some scrapie cases, although only few, were identified in heterozygote Q<sub>211</sub> and  
150 K<sub>222</sub> goats. These findings could suggest a limited protective effect of Q<sub>211</sub> and K<sub>222</sub> alleles  
151 against scrapie. In sheep, whereas homozygote ARR sheep display a high resistance, some  
152 classical scrapie cases have also been reported in heterozygote ARR sheep (Baylis *et al.*,  
153 2004). Therefore, a clear assessment of the level of resistance to scrapie infection in  
154 homozygote Q<sub>211</sub> and K<sub>222</sub> animals appears to be crucial before ‘a breeding for resistance’  
155 program to control and eradicate scrapie in goats could be envisaged. However, despite the  
156 large number of scrapie infected / total goats involved in this study, the final number of goats  
157 with homozygous mutation at codons 211 (n=23) and 222 (n=2) remained too limited to  
158 address this point. Considering the low frequencies of such genotypes in goats, it is unlikely  
159 that any observational studies might provide sufficient information to answer this question.

160 In this context, the experimental challenge of Q<sub>211</sub> and K<sub>222</sub> homozygote animals with a panel  
161 of TSE isolates (different classical scrapie isolates and BSE) is probably the most suitable  
162 way to answer this question. Such experimental challenges have already been initiated and the

163 first results obtained in K<sub>222</sub> heterozygote goats inoculated with a classical scrapie isolate are  
164 consistent with a high level resistance to infection in those animals (Acutis *et al.*, 2012)

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166 In the infected goats, 121 out of the 259 animals displayed PrP<sup>Sc</sup> accumulation in one or  
167 several investigated lymphoid tissues but were negative in the Central nervous system (obex).

168 Conversely to what has been reported in an infected goat herd in the UK (Gonzalez *et al.*,

169 2009) none of the infected animals showed PrP<sup>Sc</sup> in the CNS while being negative in the  
170 lymphoreticular system (LRS). This discrepancy could be the consequence of the involvement

171 of different scrapie agents (strains) in the studied populations. Alternatively it might reflect  
172 the possibility of different dissemination pathways of the TSE agent in infected individuals.

173 In our infected goat population no significant difference was observed in the proportion of the

174 PrP<sup>Sc</sup> LRS positive / CNS negative animals between the different age groups of IRRQS and

175 IRRQP homozygotes and IRRQS/IRRQP heterozygote infected animals (Fisher exact test, all

176 p values > 0.3) (supplementary Table 1). This observation supports the view that the S/P<sub>240</sub>

177 mutation has no strong influence on the kinetics of the scrapie agent's dissemination from

178 peripheral tissues to the CNS.

179 In the M<sub>142</sub>, Q<sub>211</sub> and K<sub>222</sub> allele carriers, a lower proportion of CNS positive goats (3 out of

180 16) was observed in animals younger than 48 months than in IRRQS and IRRQP homozygote

181 and IRRQS/IRRQP heterozygous goats (70 out of 130) (Fisher exact test, p=0.015). This

182 result suggests that the expression of the M<sub>142</sub>, Q<sub>211</sub> and K<sub>222</sub> PrP alleles might slow the

183 scrapie agent dissemination in the body of infected animals. While these results are in line

184 with those reported in M<sub>142</sub> allele carriers goat experimentally infected with BSE (Goldmann

185 *et al.*, 1996), it is our opinion that the number of infected animals with appropriate genotype

186 involved in our study remains too low to draw definitive conclusions on that question.

187 Therefore experimental oral challenges with scrapie in animals carrying appropriate  
188 genotypes is certainly the most suitable approach to definitively clarify the impact of the  
189 M<sub>142</sub>, Q<sub>211</sub> and K<sub>222</sub> PrP alleles on scrapie agents' dissemination in the tissues of goats.

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191 As in sheep naturally infected with scrapie (Gomez *et al.*, 2007; Reckzeh *et al.*, 2007), the  
192 substantial proportion of LRS positive/ CNS negative infected adult goats that we observed in  
193 our study probably has some consequences for the sensitivity of the EU TSE active  
194 surveillance program (PrP<sup>Sc</sup> detection in the obex on a random sample of animals more than  
195 18 months old). We are currently developing a simulation model that takes into account both  
196 the characteristics of the French commercial goat population (zootechnic management,  
197 demography, etc...) and the sensitivity of the PrP<sup>Sc</sup> detection in the CNS as a tool for  
198 identifying scrapie infected animals to quantitatively estimate the impact of this phenomenon  
199 on the efficiency of the scrapie active surveillance program.

200 Beyond these epidemiological considerations, it should also be noticed that according to the  
201 EU regulation 746/2008<sup>1</sup> modifying the regulation 999/2001<sup>2</sup>, EU member states can decide  
202 to put for human consumption carcasses prepared from animals born and/or raised in scrapie  
203 infected flocks providing that they have been tested and are negative for PrP<sup>Sc</sup> in the CNS. In  
204 that perspective, the relatively high proportion of scrapie infected but CNS negative animals  
205 that can be found in infected herds is also likely to have consequence in terms of the  
206 consumer's dietary exposure to scrapie agents.

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208 **Acknowledgements**

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<sup>1</sup> <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:202:0011:0019:EN:PDF>

<sup>2</sup> <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CONSLEG:2001R0999:20110318:EN:PDF>

209 This work was funded by GIS PRION grant 31B06134, by INRA grant AIP P00297, by the  
210 Poitou-Charentes region grants 04/RPC-A-103 and 05/RPC-A-13, by the EU FP7 'Goat BSE'  
211 project CT-2006-36353 and EMIDA 2012 'Goat TSE free' project.

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Table 1: PrP haplotypes frequencies and scrapie prevalence in five naturally infected goat herds

Herd	Number of goats	Number of positive / sampled	Prevalence (%)	Genotyped goats		PrP allele frequencies (%)					
						scrapie infected	healthy	IRRQS	M <sub>142</sub> RRQP <sub>240</sub>	IH <sub>154</sub> RQS	IRQ <sub>211</sub> QS
A	290	38 / 290	13.1	38	247	33.4	16.3	0.7	12.8	1.2	35.6
B	247	42 / 245	17.1	39	193	24.6	3.0	1.3	26.1	5.8	39.2
C	162	37 / 162	22.8	32	120	38.2	1.6	14.8	11.5	2.3	31.6
D	208	64 / 208	30.8	60	134	24.2	0.5	2.8	15.2	10.6	46.7
E	443	120 / 438	27.4	90	174	28.2	5.3	3.2	10.4	7.8	45.1
Overall	1350*	301 / 1343	22.4	259	868	29.4	6.3	3.7	15.2	5.5	39.9

\*Of these 1350 animals 223 were not fully traceable (PrP genotype, scrapie infection status, age) and therefore not used to assess the effect of the PrP haplotype (Table 2) and PrP genotype (Table 3) on the risk of infection with scrapie.

Table 2: Association between PrP haplotypes and natural scrapie infection risk in goats

PrP Allele	Scrapie infected goats		Healthy goats		OR (95% CI)*	p value
	#	%	#	%		
IRRQS	215	41.5	448	25.8	1	-
IRRQP <sub>240</sub>	266	51.3	634	36.5	0.79 (0.63 – 0.98)	0.036
M <sub>142</sub> RRQP <sub>240</sub>	21	4.1	121	7.0	0.47 (0.28 – 0.77)	0.003
IH <sub>154</sub> RQS	0	0	83	4.8	ND†	<10 <sup>-4</sup>
IRQ <sub>211</sub> QS	13	2.5	330	19.0	0.08 (0.04 - 0.14)	<10 <sup>-4</sup>
IRRK <sub>222</sub> S	3	0.6	120	6.9	0.04 (0.01 – 0.11)	<10 <sup>-4</sup>

An animal was assigned to an allele group on a dose-effect basis (i.e., 0, 1 or 2 copies of the allele).

\*Adjusted odd's ratio from the mixed logistic regression model with age and random herd effects.

† ND: not determined. In the absence of scrapie case, these genotypes could not be included in the mixed logistic model analysis. The Fisher exact test was used instead

Table 3: Association between PrP genotypes and natural scrapie infection risk in goats

PrP genotype	# scrapie positive / # scrapie negative	scrapie infected (%)	Odds ratio* (95 % CI)	p value
IRRQS / IRRQS	45 / 66	40.5	1	
IRRQS / IRRQP <sub>240</sub>	108 / 130	45.4	0.97 [0.60 - 1.56]	0.900
IRRQP <sub>240</sub> / IRRQP <sub>240</sub>	70 / 112	38.5	0.73 [0.42 - 1.16]	0.169
M <sub>142</sub> RRQP <sub>240</sub> / IRRQS	11 / 36	23.4	0.47 [0.21 - 1.06]	0.070
M <sub>142</sub> RRQP <sub>240</sub> / IRRQP <sub>240</sub>	10 / 50	16.7	0.28 [0.13 - 0.63]	0.002
M <sub>142</sub> RRQP <sub>240</sub> / M <sub>142</sub> RRQP <sub>240</sub>	0 / 9	0.0	ND†	0.029
IH <sub>154</sub> RQS / IRRQS	0 / 18	0.0	ND	0.015
IH <sub>154</sub> RQS / IRRQP <sub>240</sub>	0 / 38	0.0	ND	<10 <sup>-4</sup>
IRQ <sub>211</sub> QS / IRRQS	5 / 95	5.0	0.06 [0.02 - 0.17]	<10 <sup>-4</sup>
IRQ <sub>211</sub> QS / IRRQP <sub>240</sub>	7 / 140	4.8	0.06 [0.02 - 0.13]	<10 <sup>-4</sup>
IRQ <sub>211</sub> QS / IRQ <sub>211</sub> QS	0 / 25	0.0	ND	<10 <sup>-3</sup>
IRRK <sub>222</sub> S / IRRQS	1 / 37	2.6	0.02 [0.00 - 0.16]	<10 <sup>-3</sup>
IRRK <sub>222</sub> S / IRRQP <sub>240</sub>	1 / 52	1.9	0.01 [0.00 - 0.10]	<10 <sup>-4</sup>
M <sub>142</sub> RRQP <sub>240</sub> / IRQ <sub>211</sub> QS	0 / 13	0.0	ND	0.009
IH <sub>154</sub> RQS / IRQ <sub>211</sub> QS	0 / 10	0.0	ND	0.028
IRQ <sub>211</sub> QS / IRRK <sub>222</sub> S	1 / 22	4.3	0.04 [0.00 - 0.31]	0.002

Because their too low number no statistical comparison was possible in M<sub>142</sub>RRQP / IH<sub>154</sub>RQS (n=3); M<sub>142</sub>RRQP / IRRK<sub>222</sub>S (n=1); IH<sub>154</sub>RQS / IH<sub>154</sub>RQS (n=5); IH<sub>154</sub>RQS / IRRK<sub>222</sub>S (n=4); IRRK<sub>222</sub>S / IRRK<sub>222</sub>S (n=2) genotype goats. No scrapie infected animals was found in animals carrying those genotypes.

\*Adjusted odd's ratio from the mixed logistic regression model with age and random herd effects.

†ND: not determined. In the absence of scrapie case, these genotypes could not be included in the mixed logistic model analysis.